



— BUREAU OF —
**TRUST FUNDS
ADMINISTRATION**

An Introduction

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April 2023

*We Excel,
Native America Prospers.*

Fiduciary Trust

- The Secretary of the Interior has a trust obligation to manage Indian trust land and the income it generates.
- The Department of the Interior holds over 56 million acres of land in trust for Tribes and Native Americans.
- The separation of duties between the management of natural resources and monetary assets is a prudent internal control.
- BTFA manages the monetary trust assets.
- BIA manages the natural resources (surface and subsurface).

Mission, Vision & Values

Mission

Manage the Trust Beneficiaries' Financial Assets

Vision

We Excel, Native America Prospers

Values

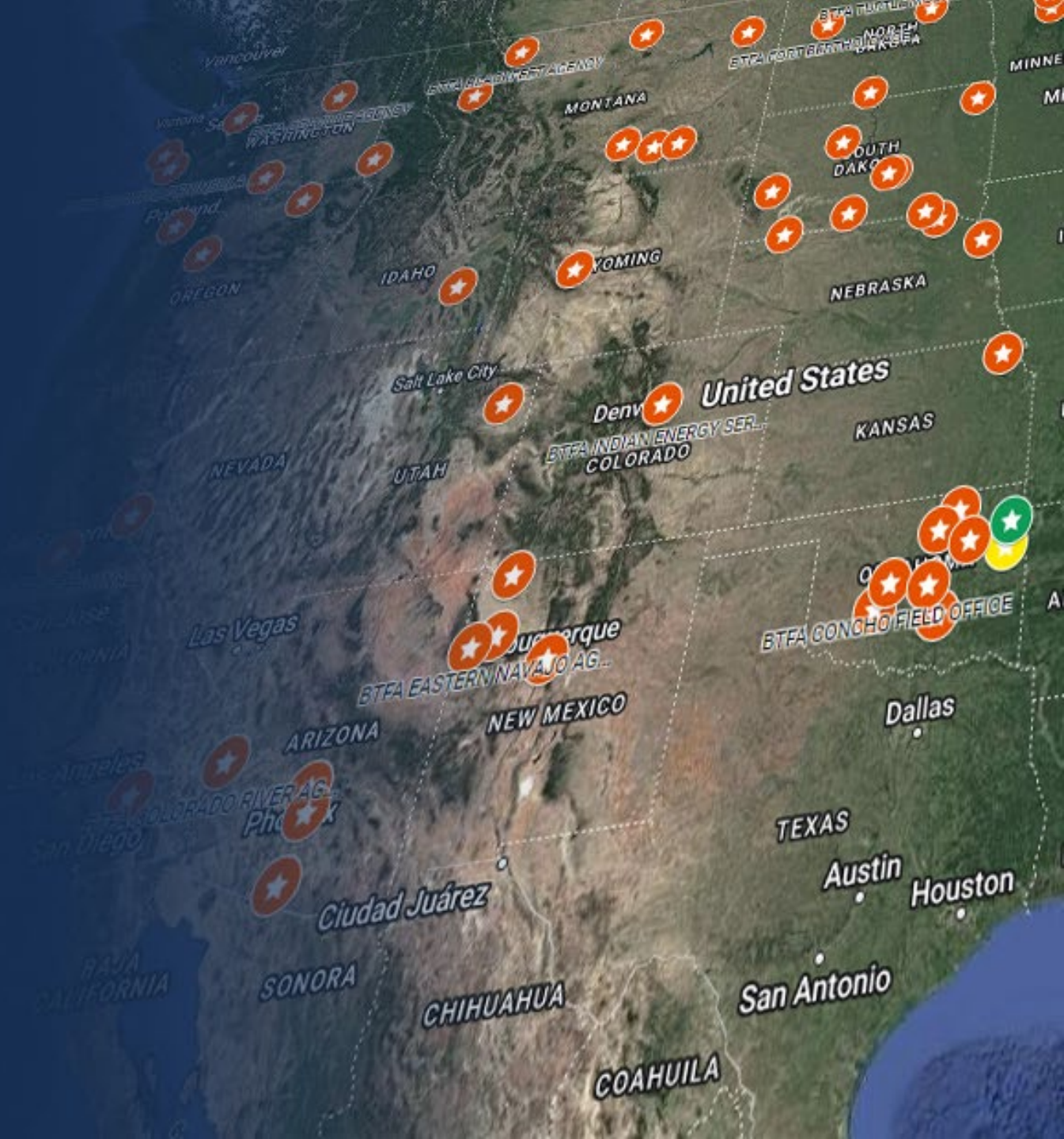
- Welcome: Dignity, Respect, Inclusion
- Encourage: Vision, Inspiration, Communication
- Learn: Support, Train, Excel
- Engage: Approachable, Emotional Intelligence, Compassionate
- Accountable: Integrity, Transparency, Self-less Service
- Dynamic: Improve, Develop, Grow



Staff & Offices

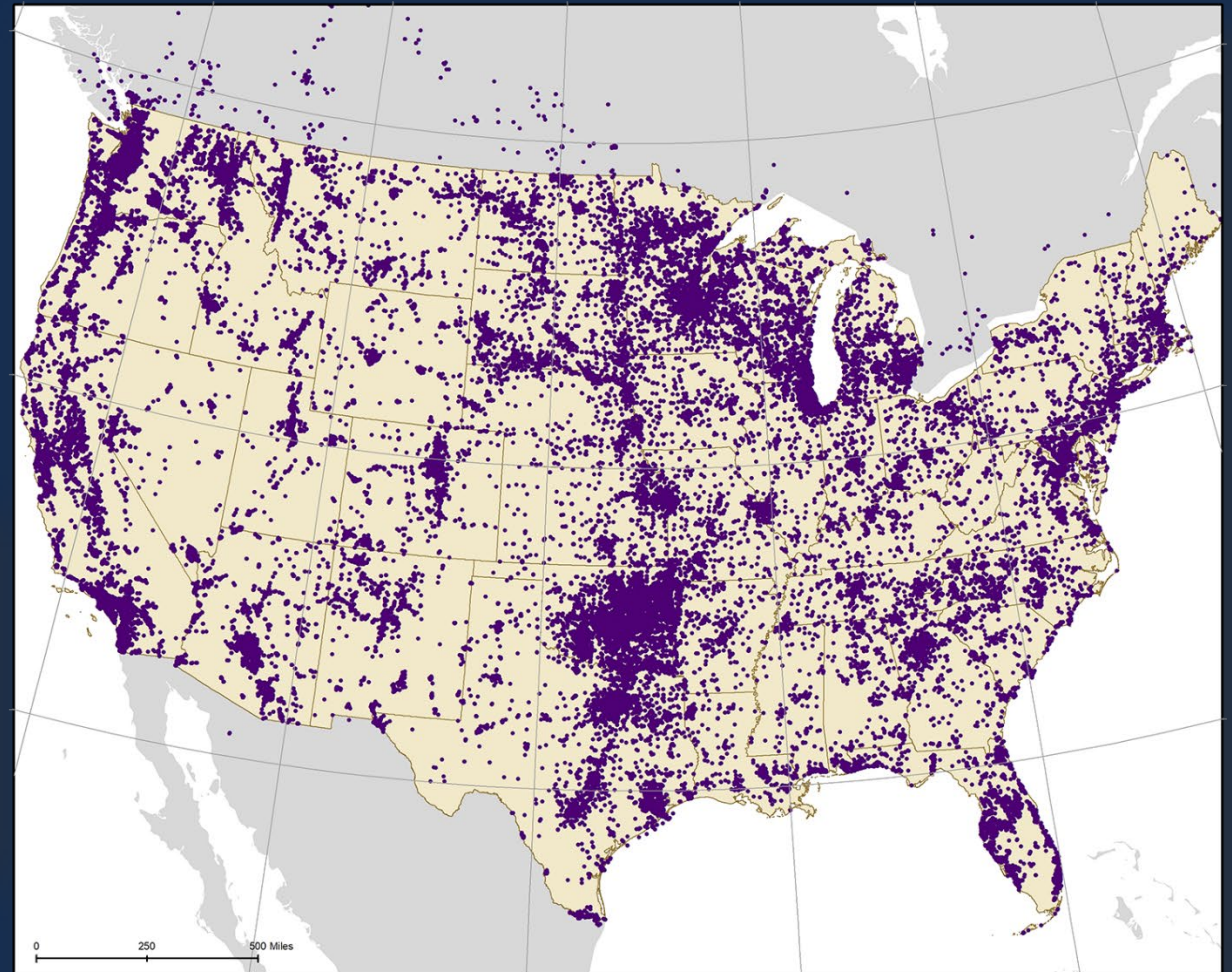
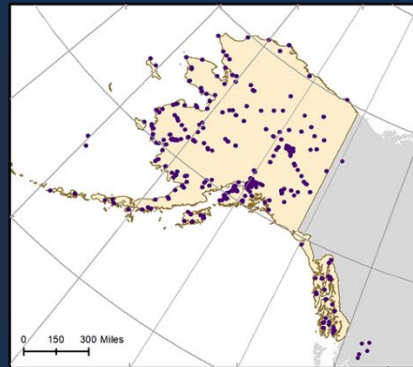
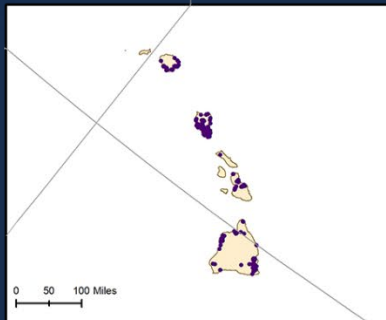
- 424 Federal Employees
- 212 Contractors
- 47% Virtual

- Stewart Lee Udall Building
WASHINGTON, DC
- Operations & Call Center
ALBUQUERQUE, NM
- American Indian
Records Repository
LENEXA, KS



Beneficiaries

- 408,000+ Individual Indian Money (IIM) Accounts
 - 59k Whereabouts Unknown
 - 770 International
- 4,100 Tribal Accounts
 - 267 Tribes



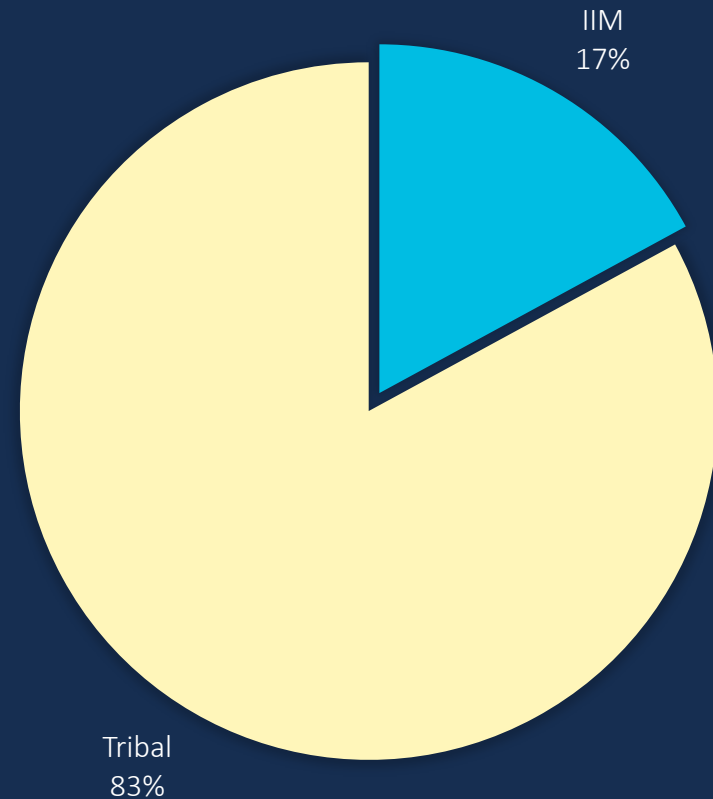
Services

- Accounting & Financial Management
 - Receipting, investing, disbursing, reconciling and reporting
- Records Management
- Financial Education/Outreach
- Trust Evaluations
- Litigation Support
- Probate (processing of monetary trust assets)



Accounting & Financial Management

- \$8.2 billion under management (audited)
 - Tribal: \$6.8 billion
 - Individual Indian Money (IIM): \$1.4 billion
 - FY 2022 net change in trust fund balance: +\$2.1 billion
- 11.8 million transactions/year
 - 99% accuracy

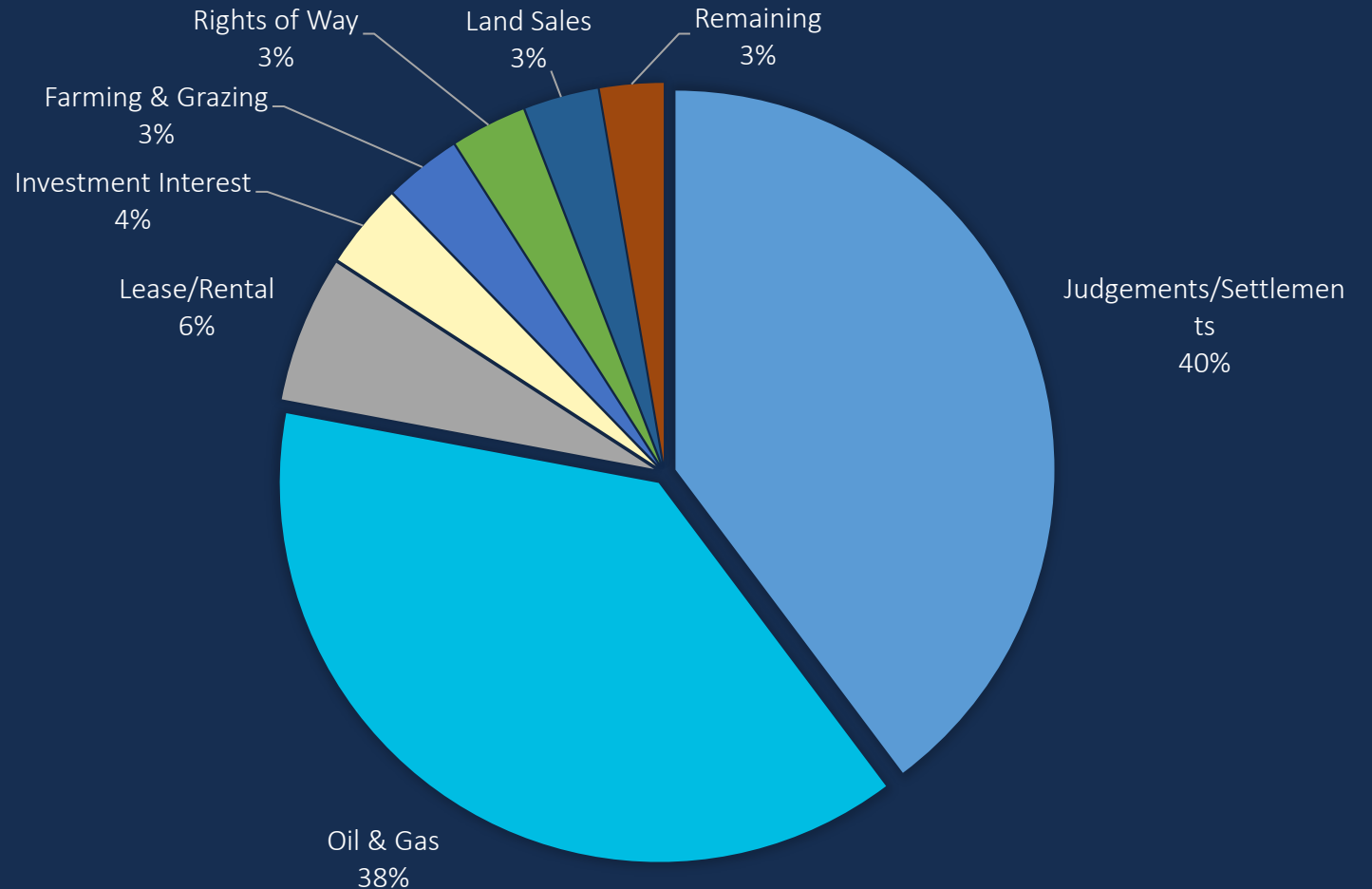


ASSETS UNDER MANAGEMENT

Accounting & Financial Management

FY2022 Revenue Sources

- Judgements/Settlements: \$1,476,090,777
- Oil & Gas: \$1,417,973,300
- Lease/Rental: \$230,746,762
- Investment Interest: \$132,263,906
- Farming & Grazing: \$120,025,754
- Rights of Way: \$118,368,185
- Land Sales: \$117,157,942
- Forestry: \$73,684,618
- Coal: \$9,962,858
- Damages/Fines: \$8,984,385
- Other: \$3,974,217
- Other Minerals: \$3,703,060



FY2022 Audit

For the tenth consecutive year, BTFA received an unmodified opinion on the Individual Indian Monies annual financial statements.



Records Management

A man with a beard and glasses, wearing a maroon shirt, is smiling and holding a large white box in a records storage aisle. The aisle is filled with rows of white boxes on metal shelving units. The background is slightly blurred, emphasizing the man and the box he is holding. The box has printed instructions on it.

- American Indian Records Repository
- AS-IA, BIA, BIE, BTFA
- Tribal treaties & trust records
- 750 million pieces of paper
- Limestone cave
- Digital Center of Excellence
 - High Speed Scanners

INSTRUCTIONS (2 OF 2)

8. PRINT THE ACCESSION NUMBER ON THE UPPER LEFT FRONT OF THE BOX. THIS THREE-PART NUMBER MUST BE SEPARATED AFTER THE FISCAL YEAR AND PRINTED IN THE BLOCKS PROVIDED. FOR EXAMPLE: 123-95
0001
9. NUMBER EACH BOX OF FILES CONSECUTIVELY (i.e., 1 OF 10, 2 OF 10, etc.)
10. IDENTIFY THE FIRST AND LAST FOLDER IN EACH BOX ON THE FRONT OF THE BOX BELOW THE HAND HOLE. FOR EXAMPLE: ABBOTT-ZIEGLER OR 0001-9999.

Financial Education/Outreach



- Partnerships
 - Financial Literacy Education Commission
 - FDIC
 - Child Tax Care Credit
 - Affordable Connectivity
 - Consumer Financial Protection Bureau
- Webinars
- Financial Empowerment Plan

Trust Evaluations

- BTFA is the Secretary's designee for conducting evaluations for Tribes that compact trust programs.
- BTFA also conducts trust records assessments for BIA and BTFA.
- In FY2022, BTFA performed 51 Tribal trust evaluations and 40 trust records assessments.



Litigation Support



- BTFA collaborates with the Office of the Solicitor - Office of Indian Trust Litigation and the Department of Justice to defend the Department against lawsuits.
- BTFA supports cases through document search, digitization, historical accounting, research, and data analysis.
- In FY2022, BTFA supported nine Federal cases filed by Tribes or individual Indians.
- 20 active cases

Probate

- BTFA is the final piece of the Indian Probate process.
- BTFA performs the full distribution of estate account balances to eligible heirs/beneficiaries.
- BTFA also works closely with BIA Probate staff to close out the BIA TAAMS Probate cases once distribution is complete.
- In FY 2022, BTFA processed 2664 estate accounts and distributed in excess of \$103 million to estate beneficiaries.



Budget

FY2023

\$111 million (Total Appropriation)

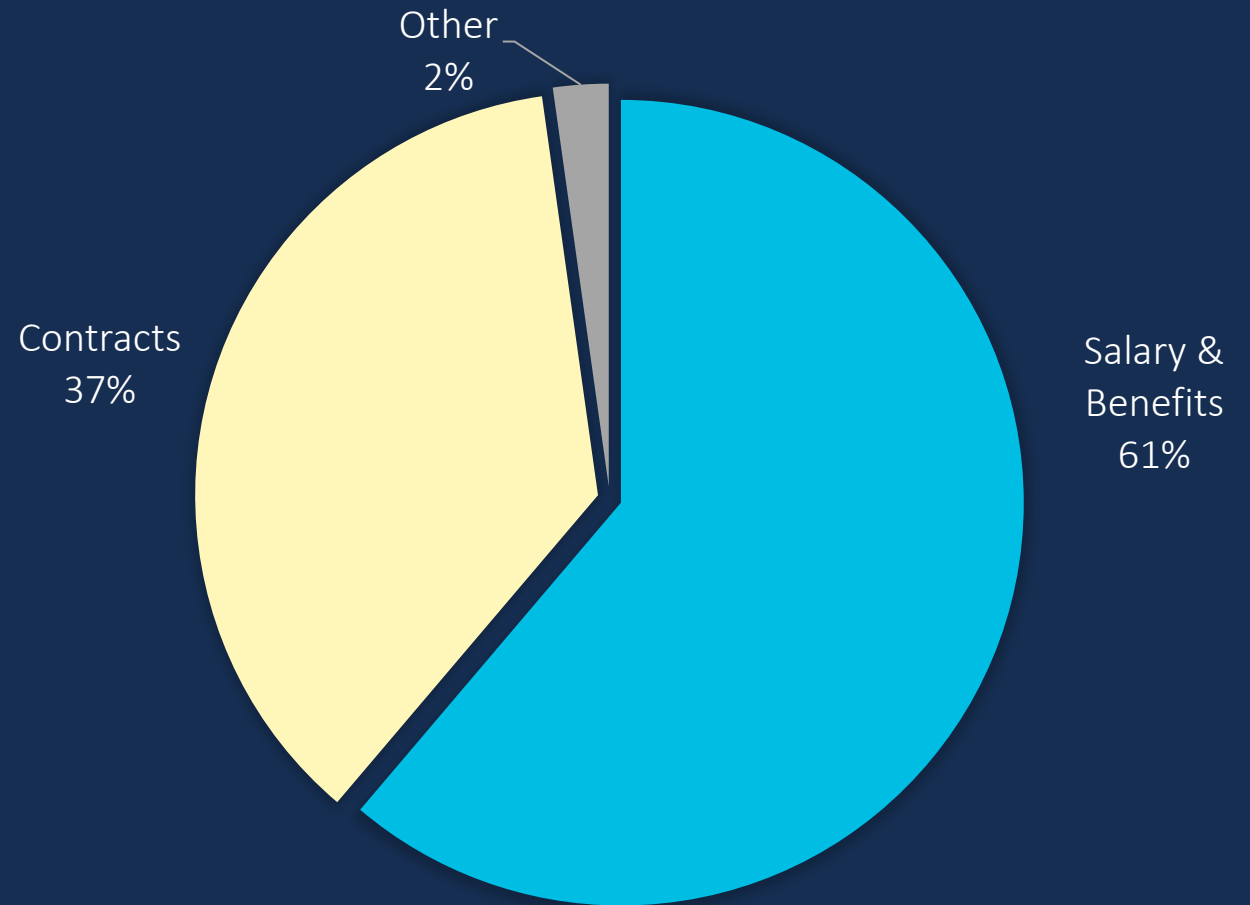
- \$2.5 million (Indian Trust Litigation Office)

- \$7.1 million (Office of Hearings and Appeals)

\$101 million (Operating Budget)

FY2022

\$110 million



BUDGET BREAKDOWN

Budget

FY2024

- \$109.1 million
 - \$2.174 million below FY2023 enacted level
 - 436 full-time equivalents
- Executive Direction: \$200,000 to support a Deputy Chief Beneficiary Officer.
- Trust Operations – Accounting: \$284,000 to support increasing cost of operations.
- Information Services: \$39,000 to support IT enhancements.
- Trust Operations Management: \$505,000 to manage and coordinate the core trust programs.
- OBM Equal Employment Opportunity: \$614,000 for the performance of EEO services.

Bureau of Trust Funds Administration			
(Dollars in Thousands)			
Federal Trust Programs	2022 Enacted	2023 Enacted	2024 Request
Executive Direction	1,501	1,520	1,749
Total, Executive Direction	1,501	1,520	1,749
Trust Operations			
Field	23,471	24,125	25,281
Accounting	17,909	17,999	18,260
Settlement Support	17,536	17,867	17,975
Information Resources and Trust Records	21,467	21,845	22,403
Information Resources	8,430	8,553	8,721
Trust Records	13,037	13,292	13,682
Trust Operations Management	0	0	505
Total, Trust Operations	80,383	81,836	84,424
Program Operations			
Business Management	22,274	22,258	16,140
[Litigation Support]	[2,571]	[2,571]	[2,571]
[Hearings & Appeals]	[7,096]	[7,096]	[0]
Office of Strategic Oversight and Planning	5,414	5,658	6,785
Total, Program Operations	27,688	27,916	22,925
TOTAL, FEDERAL TRUST PROGRAMS	109,572	111,272	109,098

Employee Engagement

- Stand-up Calls
- Training Opportunities
- Best Places to Work in the Federal Government Rankings (2021)
 - BTFA ranked 110 out of 432
 - BTFA ranked 4th among DOI bureaus & offices



Beneficiary Services

- Comprehensive Beneficiary Services Plan
- Online account access
 - March 2023: Pilot Program with 4 to 6 Tribes
 - Q1 FY 2024: Online access for Tribal and IIM Accounts
- Customer Relationship Management
- Interactive Voice Response Phone System
- In-House Call Center
- Virtual Trust Service Center
- New Investment Policy Statement
- Unbanked/Electronic Payments – Debit Card
 - Transition to New York Community Bank



Trust Administration

- Electronic Records Management Program (ERMP)
 - Digitization of AIRR collection
 - Field records inventory/management
- Trust Systems Change Board – ONRR & BIA
- Trust Process Review



Electronic Records Management Program

Background Principles

ERMP is a major foundation to fulfilling Trust Responsibility, long-mandated by OMB, and affects all IA records

Complexity

Includes the conversion of more than 1 billion pages of paper material into useable digital information – More than 800 locations/offices are involved, with diverse missions and practices, supported by various electronic applications and systems

BTFA Responsibility

Lead for records management - Supports BIE's and BIA's active mission needs with records policies and procedures

Business Case

IA has a unique records responsibility within Federal Government – BTFA has established building blocks but needs assistance and support for a comprehensive and smooth digital transformation across IA; this will ensure quality control and consistency throughout IA and allow e-records to be accessed years from now.

Planning & Components

The ERMP has nine main components – Through comprehensive and coordinated planning, resulting in an overall Program Management Plan, IA will reduce the complexity of effecting electronic records management.

Governance

Need IA Representatives (for input from all bureaus/offices) - Regular collaboration across IA will help ensure that BIA and BTFA are exemplary High Impact Service Providers that achieve efficient modernization and deliver an improved, consistent service experience for Indian Country through effective access to data and information

OMB Mandates/Federal Requirements

- OMB memos, M-19-21, M-23- require agencies to go paperless to enable and increase public's ability to better engage with Government
- NARA provides minimum standards
- IA must also consider Agency-specific requirements, circumstances, and needs to inform ERMP processes, products, and outcomes
- Trust responsibilities, including duty to account and provide information, are a critical consideration for the ERMP (beyond NARA minimum standards)

BTFA Responsibilities

- Provides records and information management guidance to AS-IA, BIA, BIE, and BTFA for electronic as well as paper records.
- Provides policy, guidance, procedures, technical assistance, and training for Department of the Interior (DOI) organizations that create, manage, or use Indian Fiduciary Trust Records (IFTR), such as BLM, ONRR, and OHA.
- Provides technical assistance to Tribes for P.L. 93-638, as amended, programs to develop and implement a Tribal records management program.

Planning & Components

- Program Management, includes components as planning, contracting, scheduling, budget, logistics, and risk management.
- Communication, Collaboration, and Consultation
- Standards, Policies and Procedures, and (including SOP Handbooks)
- Training
- Business Process Re-Engineering
- Analysis, Research, and Products (e.g., to respond to beneficiary inquiries, FOIA requests, etc.)
- Data Governance
- Information Management and Technology
- Digitization

Boarding School Initiative



Phase One

- Developed definition of a Federal Indian Boarding School (FIBS)
- Identified and completed summaries for 408 FIBS
- Began identification of marked and unmarked burial sites
- Prepared Phase I investigative report

Phase Two

- Develop detailed school profiles
- Continue digitizing documents
- Identify funding allocated to FIBS and policies in effect from 1819-1969
- Identify marked and unmarked burial sites
- Identify students and tribal affiliation
- Report on *Road to Healing* Tour
- Issue Phase II report
- Talking Circles

Thank You