

Indian Affairs 2014 Budget Update



Tribal/Interior Budget Council

November 7, 2013



Discussion Items

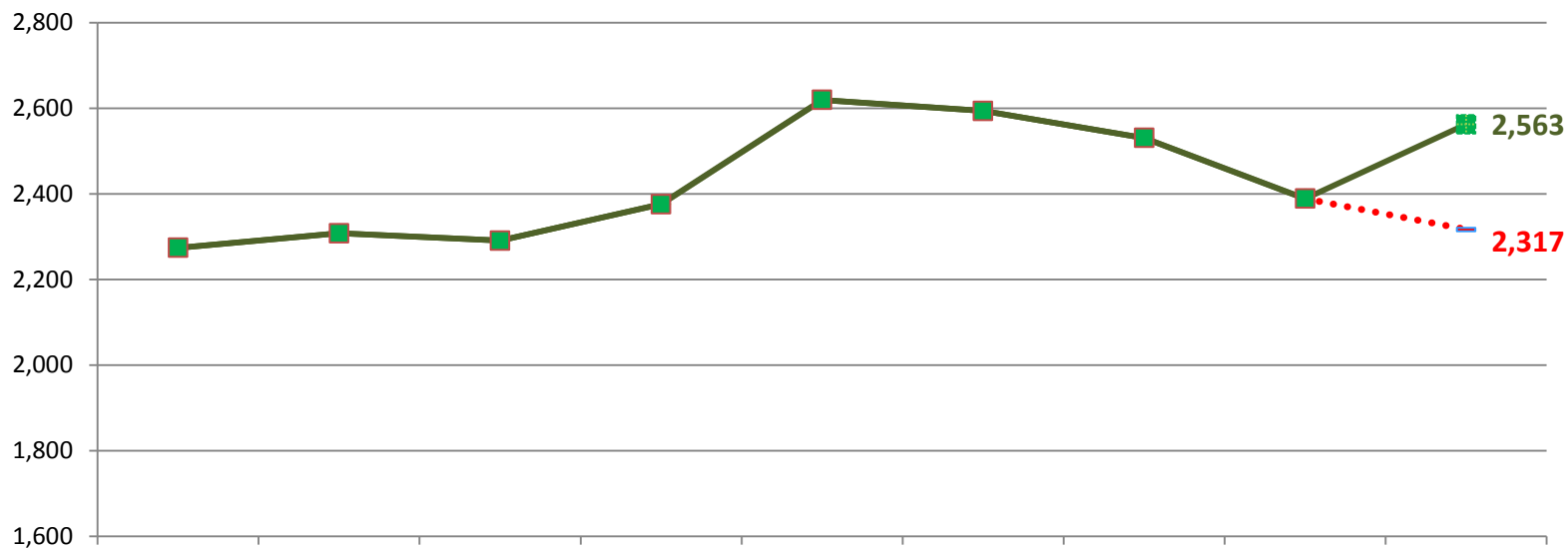
- Budget Control Act of 2011 (P.L. 112-25)
- Real Dollars of the 2013 Sequester
 - Individual Programs
- 2014 Full Year CR with Sequester
 - Additional Reduction of 2.20% beyond the current 5.04%
- House Budget vs. Senate vs. Continuing Resolution
- Planning and Preparation
- DOI Strategic Plan Update
 - Indian Affairs Five Year Strategic Plan
 - Budget Strategic Goals, Objectives, Performance
- 2016 Indian Affairs Budget Formulation
 - Focus on Unmet Need



Historical Perspective

Indian Affairs Discretionary Authority

Dollars in Millions



	2006	2007	2008	2009	2010	2011	2012	2013	Pres Bud 2014
Nominal \$	2,274	2,308	2,291	2,376	2,620	2,594	2,531	2,389	2,563
Sequestration								2,389	2,317

Sequestration Effects

2013 Real Dollars

•Tribal Government	\$	26,301,284
•Human Services		6,835,968
•Trust and Natural Resources		8,191,918
•Trust – Real Estate Services		6,571,449
•Public Safety		17,388,919
•Community & Economic Dev.		1,750,224
•Exec Dir. and Admin Services		12,044,154
•Education		40,027,489
•Guaranteed Loans		357,304
Total	\$	119,468,709

Sequestration Effects

Projected CR with and additional 2.2% Reduction

	2013 Sequester 5.04%	2014 Projected Sequester Additional 2.2%	Effect
• Tribal Government	\$ (26,301,284)	\$ (37,780,578)	\$ (11,479,294)
• Human Services	(6,835,968)	(9,819,510)	(2,983,542)
• Trust and Natural Resources	(8,191,918)	(11,767,543)	(3,575,625)
• Trust – Real Estate Services	(6,571,449)	(9,438,795)	(2,867,346)
• Public Safety	(17,388,919)	(24,978,493)	(7,589,574)
• Community & Economic Dev.	(1,750,224)	(2,514,110)	(763,886)
• Exec Dir and Admin Services	(12,044,154)	(17,300,946)	(5,256,792)
• Education	(40,027,489)	(57,497,503)	(17,470,014)
• Arts & Crafts and Culture	-	-	-
• Construction	-	-	-
• Land & Water Settlements	-	-	-
• Guaranteed Loans	(357,304)	(513,250)	(155,946)
Total	\$(119,469,709)	\$(171,610,728)	\$(52,142,019)

Sequestration Effects

House, Senate Projected CR additional 2.2%

	2013 Sequester	House Mark	Senate	Sequester 2014 CR Amount
•Tribal Government	\$ (26,301)	\$ (5,117)	\$ 36,679	\$ (11,479)
•Human Services	(6,836)	(6,820)	5,315	(2,984)
•Trust and Natural Resources	(8,192)	(1,148)	29,856	(3,576)
•Trust – Real Estate Services	(6,572)	(19,400)	5,129	(2,867)
•Public Safety	(17,389)	(31,842)	37,669	(7,590)
•Community & Economic Dev.	(1,750)	(3,294)	3,682	(764)
•Exec Dir and Admin Services	(12,044)	(38,660)	5,582	(5,257)
•Education	(40,028)	9,389	41,692	(17,470)
•Arts & Crafts and Culture	-	12,405	-	-
•Construction	-	45,877	1,426	-
•Land & Water Settlements	-	(1,384)	2,918	-
•Guaranteed Loans	(357)	(2,319)	(1,713)	(155)
Total	\$ (119,469)	\$ (42,313)	\$ 168,235	\$ (52,142)

Projected 2014 CR

Additional Sequester 2.2% Vs. House Mark

Tribal Government	Sequester	House Mark
Aid to Tribal Government (TPA)	\$ (2,148)	\$ (7,040)
Consolidated Tribal Gov't Program (TPA)	(5,594)	(9,821)
Self Governance Compacts (TPA)	(11,436)	(19,768)
Contract Support (TPA)	(15,840)	37,774
Indian Self-Determination Fund (TPA)	(145)	(895)
New Tribes (TPA)	(23)	86
Small & Needy Tribes (TPA)	(141)	(269)
Road Maintenance (TPA)	(1,839)	(3,622)
Tribal Government Program Oversight	(615)	(1,562)
Central Oversight	(192)	(346)
Regional Oversight	(423)	(1,216)
Total, Tribal Government	(37,781)	(5,117)

Projected 2014 CR

Additional Sequester 2.2% Vs. House Mark

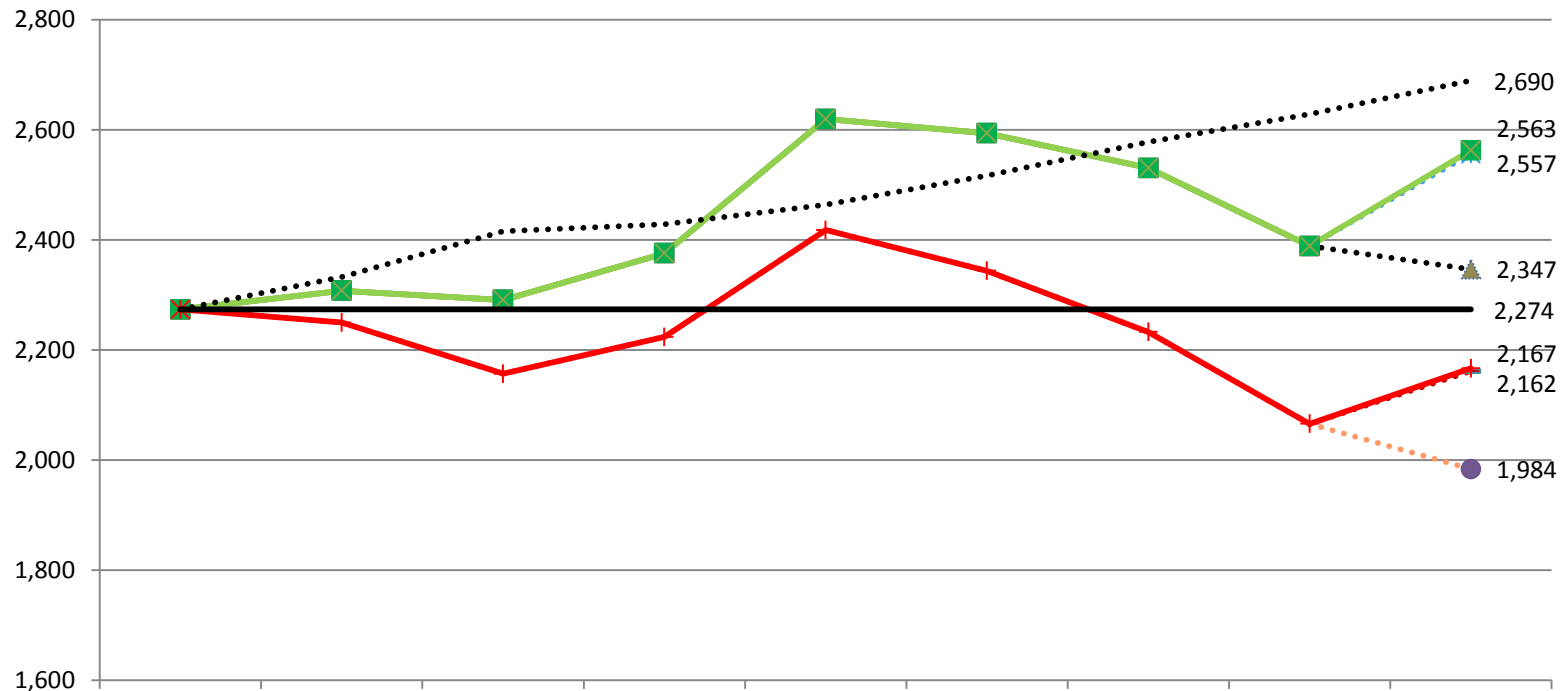
Law Enforcement	Sequester	House Mark
Criminal Investigations and Police Services	(13,533)	(15,756)
Detention/Corrections	(5,939)	579
Inspections/Internal Affairs	(212)	109
Law Enforcement Special Initiatives	(1,066)	(7,571)
Indian Police Academy	(358)	(672)
Tribal Justice Support	(399)	(757)
Law Enforcement Program Management	(628)	(2,298)
Facilities Operations & Maintenance	(995)	(1,880)
Tribal Courts (TPA)	(1,784)	(3,658)
Fire Protection (TPA)	(64)	62
Total, Public Safety and Justice	(24,978)	(31,842)



Historical Perspective

Indian Affairs Discretionary Authority

Dollars in Millions



	2006	2007	2008	2009	2010	2011	2012	2013	Pres Bud 2014
Nominal \$	2,274	2,308	2,291	2,376	2,620	2,594	2,531	2,389	2,563
Constant \$	2,274	2,250	2,157	2,224	2,418	2,344	2,233	2,066	2,167
Cumulative Change since 2006		-1.1%	-5.1%	-2.2%	6.3%	3.1%	-1.8%	-9.1%	-4.7%

Planning and Preparation

During Sequestration

- How do we increase the ability for long-term planning and efficiencies based on priorities
- Regional And Tribal instead of the one size fits all national priorities (e.g. Across the Board cuts)
- Re-prioritization established on given current resources
- Operational Impacts

Q&As

GPRA Modernization Act Requirements for the Strategic Plan, Annual Performance Plan & Report

Strategic Plan:

- Description of Agency and Mission Information, aligned with the strategic goals of the Secretary of the Interior and the President
- Set of Strategic Goals that define what the organization is trying to achieve in quantifiable terms and a specified timeframe aligned with the strategic goals of the Secretary of the Interior and the President
- Collection of Strategic Objectives that define how the organization will achieve its goals; linked with the budget and budget initiatives
- Performance metrics that can be used to track annual (and in some cases quarterly) progress toward achieving a predefined quantifiable target value

Annual Performance Plan & Report:

- Assessment of the organization's past progress and funding investment in achieving its Strategic Objectives along with projected funding investment and performance targets for the present and next fiscal years
- Identification of programs involved in achieving each strategic objective
- Describes next steps and future actions for performance achievement and improvement
- Results from management reviews, cross-agency collaborations, evaluations, and research

Departmental uses for the Strategic Plan and corresponding Annual Performance Plans and Report

- Integrates programmatic activities across bureaus into an overarching Departmental/government-level framework/strategy
- Cross integration of similar programmatic activities helps demonstrate coordination and collaboration minimizing potential for duplication, fragmentation, and overlapping
- Reflects a routine cross-organizational assessment of progress against goals – more comprehensive than a listing of individual examples; uses “key indicators” which reduced the number of performance measures from 205 to 116
- Demonstrates accountability and transparency to the American public in a readily understandable manner across a broad range of activities
- Allows for compliance of the tenets of the GPRA Modernization Act in an integrated manner that is more readily understandable and minimizes the reporting demands on the bureaus

FY2011-2016 version of the Strategic Plan increased the visibility and recognition of Indian Affairs programs; benefited from Tribal Consultation

Topic / Strategic Objective	Previous Strategic Plan (FY2007-2012)	Current Strategic Plan (FY2011-2016)
Fulfill fiduciary trust	Included	Included
Strengthen judiciary systems	Included	Included
Make communities safer	Included	Included
Strengthen Indian education	Included	Included
Manage and develop resource assets	Included	Expanded to include forest biomass
Treaty and subsistence rights	Not included	Added
Support self-governance and self-determination	Not Included	Added
Create economic opportunity	Not included	Added
Protection of water rights and efficiency of operations	Not Included	Added
Respecting Indian cultures	Not Included	Added

Performance measures in the FY2011-2016 version cover a more complete range of Indian Affairs programs

- Performance measures added for:
 - Subsistence
 - Individual Indian Monies case records reviewed
 - Timely processing for oil and gas revenues
 - Use of forest biomass for energy and other products
 - Job placement program
 - Guaranteed loans
 - Fee to trust process efficiency
 - Self-governance and self-determination
 - Water rights projects
 - Efficiency of water operations

Indian Affairs Strategic Plan

- Create a Strategic Plan strategy for Indian Affairs
- Continual dialogue with tribes
- Communication Strategy for integrating and coordinating programmatic activities across Indian Affairs
- Operational Plan for implementing both strategies, defining the responsibilities of specific offices and the process of gathering information
- Assess the performance of the operational plan, in terms of efficiency, transparency, and accountability
- Assess organizational effectiveness in communication across IA bureaus and offices

Budget Complexity

Various durations of Appropriations
1 year 15 Months
2 year 3 year
No year

37 Different Funds

Legal Statutory Limitations
Complexity of funding



Budget Complexity

- Programmatic Area vs. sub programmatic area line item
- Demographics
 - Tribal Prioritization 566 Recognized Tribes
 - Regional Prioritization
 - Service Population of Approximately 1.7 million
 - Administers 55 million surface acres and 57 million subsurface minerals
 - 12 Regional Offices and 85 Field Offices and Agencies
 - Approximately 41,000 Indian students at 183 schools and dormitories
 - 31 colleges, universities, and post secondary schools
 - Unique and has its own priorities
- 37 Different Funds representing many different funding types and purposes with different legal and statutory requirements
 - Direct Appropriations
 - Reimbursable
 - Loans
 - Miscellaneous Permanent
 - Receipt
 - Transfer Accounts

Budget Complexity

Simplified Approach

- Increased ability for long-term planning and efficiencies based on local priorities
- Tribal and Regional priorities instead of the one size fits all national priorities
- Establish regional prioritization based on current resources
- Increased consultation and partnership with tribes for developing yearly and long-term priorities within each Region
- Current Operating Plans
- 2017 Operational Discussion

2016 Indian Affairs Budget Formulation

- Focus on Unmet Need
 - “If we had the resources – this is what we would do”
- Strategic Plan
- 2016 Guideline has been distributed to Regional Contacts
- Kick-off meeting Central Team and Regional Contacts
- Regions scheduling meetings now through January
- Coordinate with the Strategic Plan efforts

2016 Indian Affairs Budget Formulation

Date	Workgroup Activity
SEPT-DEC 2013	Tribal/Regions budget formulation meetings for FY 2016.
JAN-FEB 2014	Tribal/Regions budget development work sessions.
FEB 21, 2014	Regional submissions due.
MAR 3-7, 2014	Review submissions via WebEx with Regions.
MAR 25-26, 2014	Tribal presentations of regional submission at the Tribal Interior Budget Council (TIBC) National Budget Meeting, including Department Officials.
APR 22-24, 2014	TIBC Subcommittee via WebEx
APR 24, 2014	Formal consolidated presentation of draft testimony and recommendations to the Assistant Secretary – Indian Affairs.
MAY 6-7, 2014	TIBC Budget Meeting, Washington, DC
MAY/JUNE 2014	Indian Affairs will assist in the development of a meeting among the co-chairs of the TIBC Workgroup, representatives, and the Director of the Office of Management and Budget (OMB).
JUNE 2014	Department submission for FY 2016.
JULY 22-23, 2014	TIBC Budget Meeting, Location TBD
SEPT 2014	FY 2017 Budget Formulation begins.