

# TIBC Budget Formulation Improvement Project

Final Recommendations and  
Implementation Update as of  
November 16, 2018

**The TIBC took action on these  
recommendations at the July 2018 Meeting.**

# Budget Workgroup Recommendations

1. Two-Way Vertical Communication
2. Formulation Methodology & Tribal Workload
3. Buy-in & Participation
4. TIBC Protocol & Management
5. Comprehensiveness of Budget Exercise
6. Impact of TIBC's recommendations
7. PROCESS OPTION 1: Make TIBC Ranking Process bi-annual.
8. PROCESS OPTION 2: STAC with TIBC as a Subcommittee  
**Recommendations were all endorsed.**
9. INCREASE/DECREASE METHODOLOGY: **Referred to the Budget Subcommittee**

# 1. Two-Way Communication: Recommendations

## Federal

- Create a communications protocol. **In progress.**
- Update the Budget Guidance. **Done.**
- Creation of a website. **In progress.**

## TIBC Tribal

- Develop protocol on TIBC reps responsibility to communicate to tribes in their region. **In progress.**
- Designate a TIBC POC in charge of confirming that outreach and communication is taking place in compliance with the new protocol.  
**In progress.**

## 2. Formulation Methodology & Tribal Workload: Recommendations

### Survey

- **Recommendation:** continue to utilize the preferred program ranking tool process with the updates recommended in this section. The 2020 priority survey yielded largely positive feedback from the tribes.

# 3. Buy-in & Participation: Recommendations

## Valuing the Process

- Need to ensure that all TIBC members value the formulation process by updating TIBC protocol. **This is on agenda next.**
- Reduce the number of meetings and streamline and reformat the remaining meetings. **Done.**

# 3. Buy-in & Participation: Recommendations

- Ensure that the Subcommittees are reporting out only when they have a decision point.
- Need to ensure that the goals and purposes of the non-standing subcommittees are clear.
- Ensure that marketing of TIBC to tribes is more consistent.
- Ensure that the tribes are involved in selection of their TIBC rep and feel a part of the process.

**Included in protocol changes.**

- Ensure that federal partners make a commitment. **Letter being drafted.**
- Federal partners from each of the budget programs (especially the priority areas) should use TIBC as an opportunity to report-out on the programs, budget, etc. **Added to agendas.**

# 4. TIBC Protocol & Management: Recommendations

## New Member Orientation

- Recommend development of an orientation program for new TIBC members. **In person orientation done this week. Follow up webinar recording in process.**

## Meeting Facilitation, Tracking, and Follow-Up

- TIBC should conduct a process to identify coordination gaps that exist between the roles of TIBC, BIA and NCAI. **In progress.**
- Ensure that the role of TIBC is articulated. **In Guidance and Orientation.**
- Ensure that the budget process is clearly laid out for reps. **In Guidance and Orientation.**

# 5. Comprehensiveness of Budget Exercise: Recommendations

- Create a more comprehensive process by expanding the scope of the budget consultation at the DOI and all-of-government levels. **DOJ involvement.**  
**Requested at OMB meeting.**
- The BIE survey needs to be well-coordinated with TIBC and the BIA survey in terms of target audience, timing, and workload. **Addressed in guidance and ranking tool.**



# 6. Impact of TIBC's Recommendations: Recommendations

- Develop an outreach and briefing strategy for federal officials. **In process.**
- Clarify to tribes in the budget formulation guidance where their information will end up. **In Guidance and orientation.**
- NCAI should facilitate Hill engagement for TIBC tribal co-chairs. **In process for FY 2020 spring cycle.**

# 7. PROCESS CHANGE OPTION 1

**Move the annual TIBC budget formulation process to a bi-annual process.**

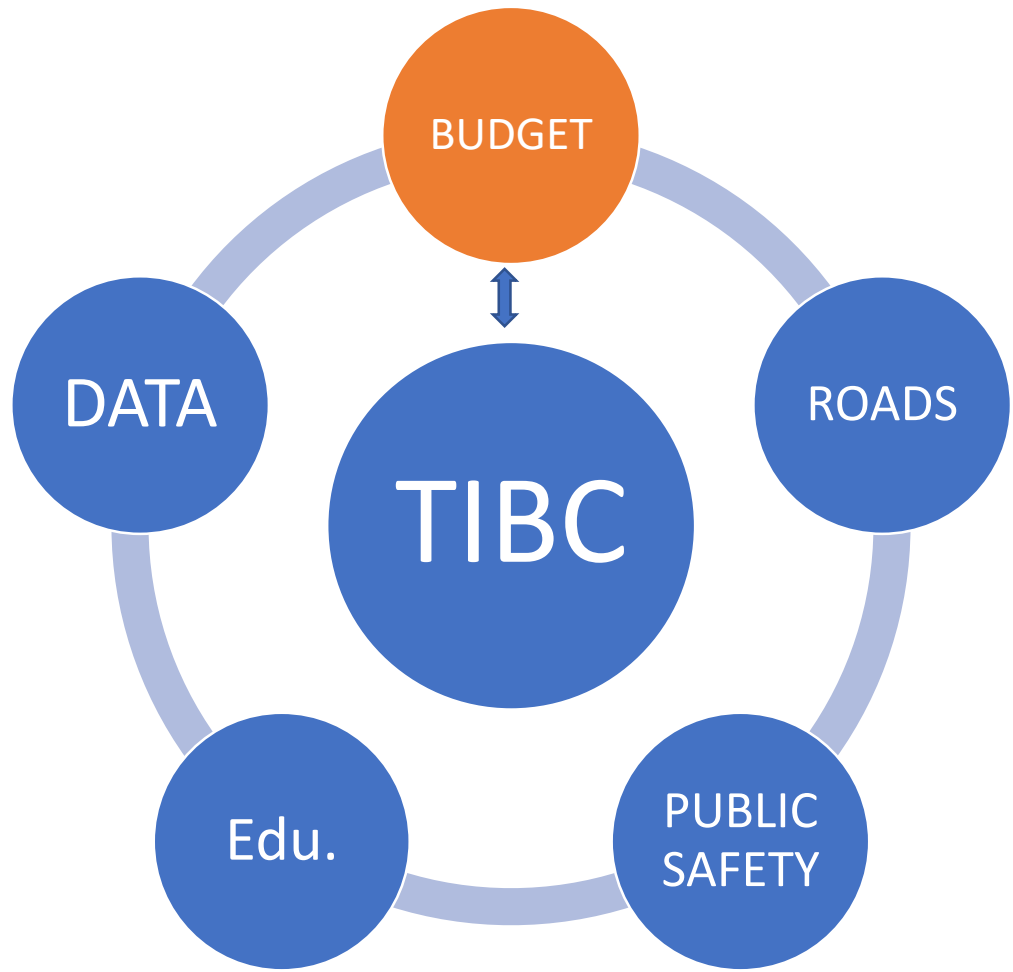
**Adopted pending second approval at the April 2019 TIBC meeting.**

## 8. PROCESS CHANGE OPTION 2

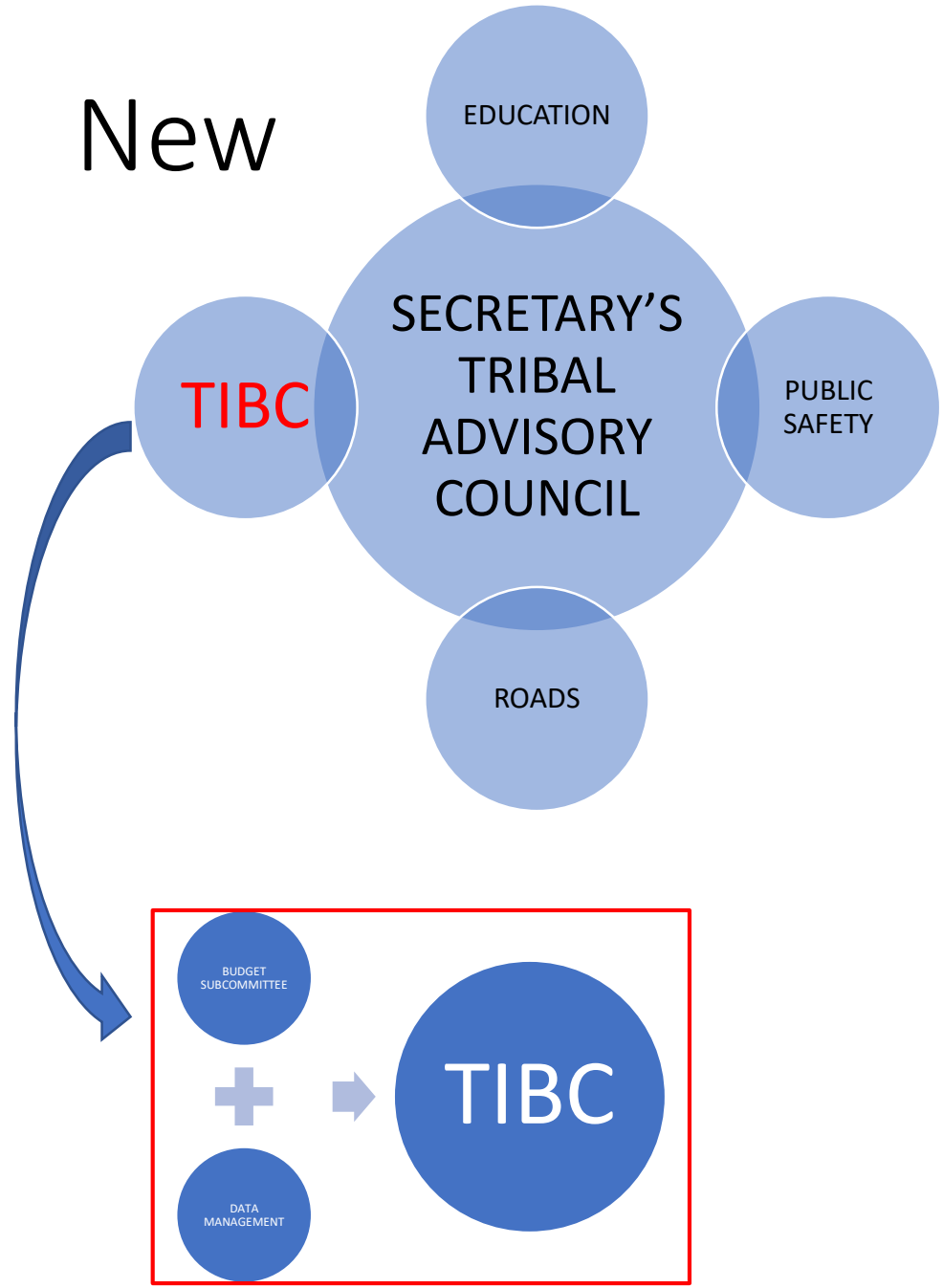
**Elevate, Refocus and Narrow TIBC's Mission. Create a STAC with TIBC as a Subcommittee**

**Approved on a conceptual basis with details to be worked out and approved at a later TIBC meeting.**

# Current



# New



# 9. INCREASE SCENARIO

- The Budget Subcommittee has reviewed a new approach to distributing an increase in future budget increase exercises.
- The formula would distribute increases among the top-ranked programs in a manner that is weighted by:
  - 1) Priority level
  - 2) Size of program
- **Decision: the Budget Subcommittee will evaluate different options for specific allocation of increases to TPA, CSC, and TPA-like programs.**

# 9. DECREASE SCENARIO

- TIBC should conduct a process to determine whether or not TIBC will engage in a decrease scenario exercise. Depending on the decision of the TIBC reps, create a formula for handling the decrease scenario.
- **Decision: TIBC will not officially refuse to engage in the decrease scenario exercise but reserves their prerogative to make this decision on an annual basis.**

<b>Date</b>	<b>Activity</b>
OCT 19, 2018	The Office of Budget and Performance Management (OBPM) releases budget formulation guidance and ranking tool to the regions and tribes via Kick off webinar.
OCT 2018 – JAN 2019	Tribes receive ranking tool and formulation guidance. Regions hold their tribal budget formulation meetings/work sessions for FY 2021.
JAN 10, 2019	All tribal ranking tool submissions must be in to regional offices.
FEB 10, 2019	Regions submit tribal ranking roll up and federal regional request templates.
FEB 2019	Regional submissions shared with Central Office (CO) program staff for use in formulating their budgets. OBPM prepares National Roll up from regional submissions.

<b>Date</b>	<b>Activity</b>
MAR 15, 2019	CO program submissions are sent to OBPM.
MAR 18-29, 2019	CO Program Briefings are conducted by OBPM
APRIL 9-11, 2019	Results of the Regional and National Rollups presented to the full TIBC Body by OBPM at April Session. The TIBC body deliberates at the April Session and determines budget strategy for FY 2021.
APRIL 12, 2019	Budget Subcommittee work session to develop tribal budget submission.
APRIL 16, 2019	Subcommittee presents proposed budget and draft testimony to full TIBC Committee for approval via webinar.
APRIL 18, 2019	Tribal Presentation of approved tribal budget and testimony to the Assistant Secretary – Indian Affairs.



<b>Date</b>	<b>Activity</b>
APRIL 22, 2019	OBPM prepares Budget Deliberation Books to include all tribal, regional, and CO submissions.
APRIL 24- MAY 8, 2019	IA Budget deliberations with Senior Management.
MAY 2019	Departmental submission for FY 2021.
MAY/JUNE 2019	Indian Affairs will assist in the scheduling of a meeting among the co- chairs of the TIBC, representatives, and the Director of the Office of Management and Budget (OMB).
JULY 2019	TIBC Budget Meeting, Alaska.
SEPT 2019	OMB Submission for FY 2021.
OCT 2019	FY 2022 Budget Formulation begins.
NOV 2019	OMB Passback on FY 2021 Budget.
FEB 2020	FY 2021 Greenbook goes to print.